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PATENT--NO FEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

MARK W. ORME ET AL.

Serial No.: 10/521,393

Filed: January 14, 2005

For: **MODIFIED PICTET-SPENGLER
REACTION AND PRODUCTS PREPARED
THEREFROM**

Attorney Docket No. 27866/35754B

Group Art Unit: Unassigned

Examiner: Unassigned

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) MS Amendment
) Commissioner for Patents
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) Alexandria, VA 22313-1450
)
) Dated: August 2, 2004
)
) *James J. Napoli*
) James J. Napoli
) Registration No. 32,361
) Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, applicants hereby bring to the examiner's attention patent documents and publications that may be material to the examination of the above-identified application. Therefore, in compliance with 37 C.F.R. §1.97 and §1.98, applicants enclose a completed Form PTO-1449 listing the possibly pertinent patent documents and publications and a copy of each non-U.S. patent and each publication.

This Information Disclosure Statement is submitted more than three months after the filing date of

the above-identified application, and, to applicants' knowledge, before the mailing date of a first Office Action on the merits. Therefore, under 37 C.F.R. §1.97(b), this Information Disclosure Statement shall be considered by the Patent Office.

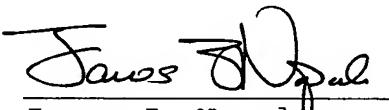
The Commissioner, however, is hereby authorized to charge any fee which may be required during the pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17 to Deposit Account No. 13-2855. A duplicate copy of this Transmittal is enclosed herewith.

We also enclose a copy of a PCT Search Report for the convenience of the examiner.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

By



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August 2, 2004

Form 1449PTO				<i>Complete if Known</i>	
				Application Number	10/521,393
				Filing Date	January 14, 2005
				First Named Inventor	Mark W. Orme
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>					

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY		
		5,859,006	01/12/1999		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY		

OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		P.D. Bailey et al., <i>J. Chem. Soc. Perkin Trans. 1</i> , 431-39 (1993).
		A. Madrigal et al., <i>Tetrahedron: Asymmetry</i> , 11, 3515-26 (2000).
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		H. Wang et al., <i>Organic Letters</i> , Vol. 1, No. 10, 1647-49 (1999).
		P.D. Bailey et al., <i>Tetrahedron Letters</i> , Vol. 28, No. 25, 2879-82 (1987).
		P.D. Bailey et al., <i>Tetrahedron Letters</i> , Vol. 35, No. 21, 3587-88 (1994).
		P.D. Bailey et al., <i>J. Chem. Soc., Chem. Commun.</i> , 1507-09 (1985).
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		K.M. Czerwinski et al., <i>Tetrahedron Letters</i> , Vol. 33, No. 33, 4721-24 (1992).

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449PTO				<i>Complete if Known</i>	
				Application Number	10/521,393
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				First Named Inventor	Mark W. Orme
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				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	
29342/35754B					

OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		F. Ungemach et al., <i>J. Org. Chem.</i> , 46, 164-68 (1981).
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		P. Zhang et al., <i>Tetrahedron Letters</i> , Vol. 36, No. 39, 6999-7002 (1995).
		A. Ganesan, Recent Studies in Total and Combinatorial Synthesis, Department of Chemistry, University of Southampton, Undated.

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